### Outline

- The science behind COVID-19 vaccines
- Common side effects from COVID-19 vaccines
- New variants & COVID-19 vaccines
- Vaccine booster trials

Disclosure: I serve as sub investigator for AstraZeneca phase 3 study. No financial disclosures

# How did we get to this point?

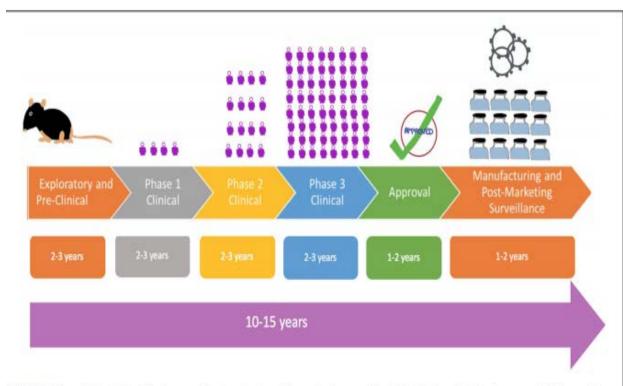


FIGURE 1 | Flowchart showing traditional process of vaccine development from exploratory, pre-clinical studies to Phase 1 studies in a comparatively few control volunteers as depicted by the figure to larger Phase 2 and Phase 3 studies. The symbol is a representation of the number of human subjects in trials.

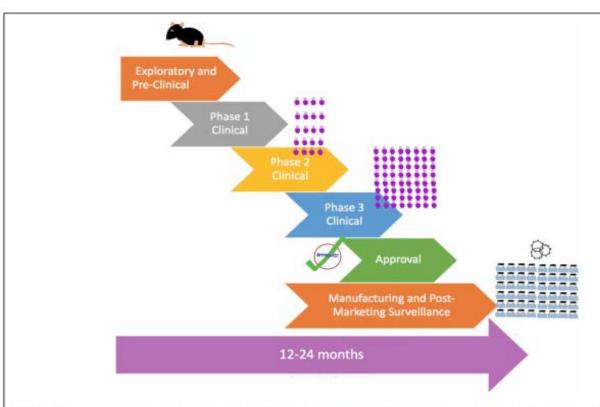
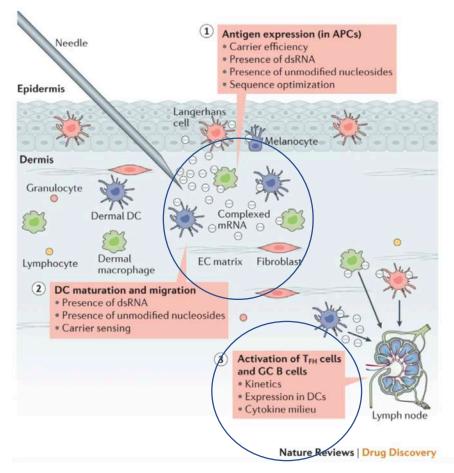


FIGURE 2 | Flowchart showing accelerated process of vaccine development in a pandemic with combined phases, pre-approval, and rapid large-scale manufacturing. The symbol is a representation of the number of human subjects in trials.

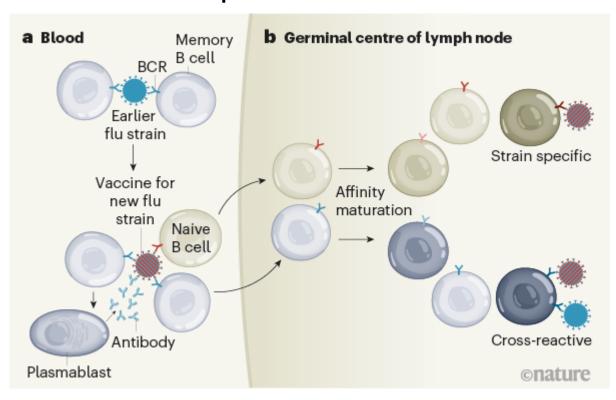
# Steps in generating an immune response: mRNA vaccines

- 1) Injection of vaccine (in this case mRNA encased in lipid nanoparticles)
- 2) Uptake into cytoplasms of local antigen presenting cells (APCs)
- 3) Expression of SARS-CoV-2 Spike protein by APCs
- 4) Generation of robust, multifaceted, including T & B cell immune response



## Vaccines and immunogenicity

- T cell response
- B cell response



Cytotoxic T cells recognize infected cell, leading to cell death

Cytotoxic T cell

1. Immune attack

2. Infected cell killed

2. Cells not affected by virus

Immunogenicity = ability of vaccine to produce immune (antibody) response

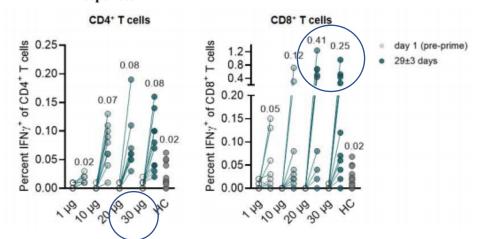
Efficacy = ability of vaccine to reduce incidence of disease in vaccinated population compared to unvaccinated population

Rodda LB. Nature. 2019. Baraniuk C. Scientist. 2020.

# Pfizer/BioNTech vaccine

- Formerly known as BNT162b2
- Lipid nanoparticle-encapsulated mRNA vaccine against SARS-CoV-2 spike glycoprotein antigen
- 2 doses, 21 days apart
- Storage at -20C

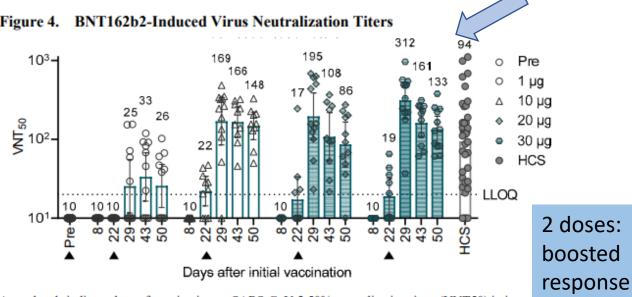
Figure 2. Frequency and Magnitude of BNT162b2-induced CD4<sup>+</sup> and CD8<sup>+</sup> T Cell Responses



Dose Finding: 30ug

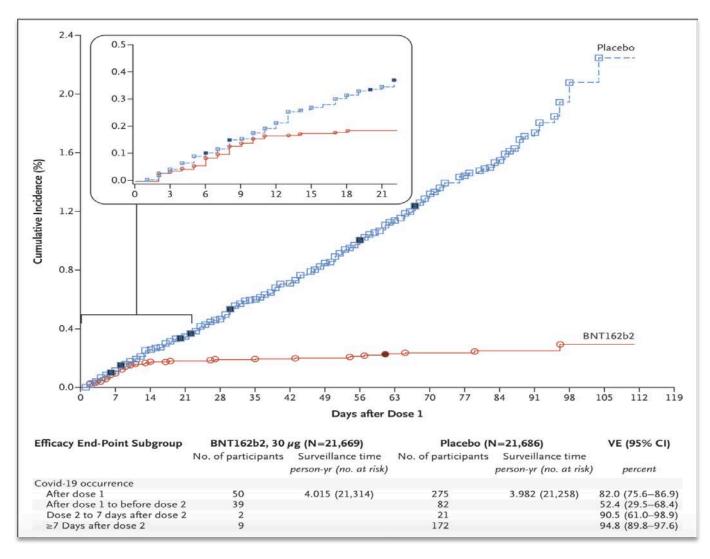
With the N-terminal portion of the wild-type sequence of SARS-CoV-2 S intracellular cytokine staining flow cytometry analysis. Frequency of Sars-covered to said the N-terminal portion of the staining flow cytometry analysis. Frequency of Sars-covered to Sars-covered

specific CD4+ and CD8+ T cells producing IFNy in response to S pool 1 as a fraction of total circulating CD4 and CD8 T cell are shown. Numbers indicated in the graphs are the arithmetic mean fractions.



Arrowheads indicate days of vaccination. a, SARS-CoV-2 50% neutralization titers (VNT50) in immunized participants and HCS. Each serum was tested in duplicate and geometric mean titer plotted. For values below the lower limit of quantification (LLOQ) = 20, LLOQ/2 values were plotted. Group geometric mean titers (values above bars) with 95% confidence interval.

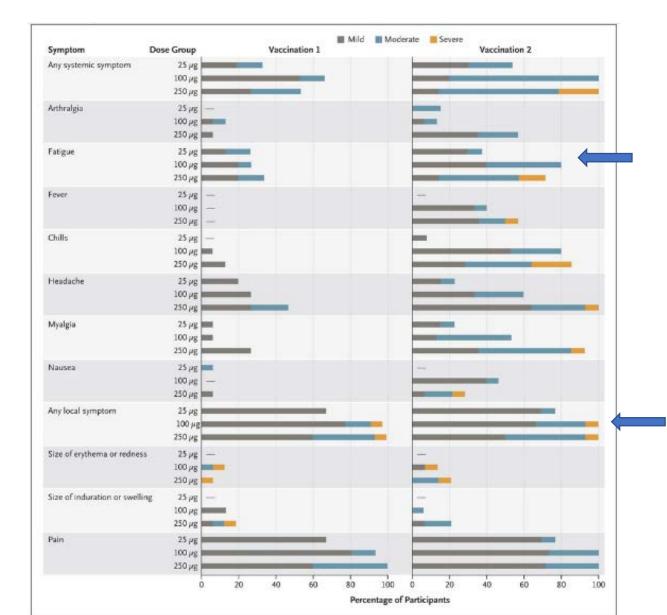
# The Pfizer/BioNTech Vaccine: Safety & Efficacy



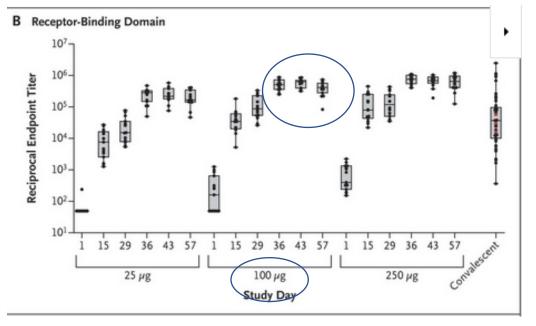
- Primary efficacy endpoints:
  - 1) Safety (AEs): 43,448
  - 2) Efficacy: 36,523 (1:1 randomization)
    - new confirmed COVID-19 cases >7 days after dose 2
- Safety:
  - Reactogenicity common, resolved quickly
  - Any AE: 27% in vaccine vs 12% in placebo
  - Serious AE: 0.6% vs 0.5%
- Efficacy
  - 162 cases in placebo, 8 cases in vaccine
  - **95%** [CI 89.9-97.3]
- Efficacy after one dose = 52%
  - Severe cases after dose one: 1 in vaccine vs 9 in placebo

VE = (%Cases Plcb-%Cases Vax) / %Cases Plcb
VE = 100x 1-[risk among vaccinated / risk among unvaccinated]

## Moderna vaccine



- Formerly known as mRNA 1273
- Lipid nanoparticle encapsulated RNA based vaccine targeting spike protein
  - 100ug dose
- 2 doses, 28 days apart\*
- Storage temp -20C

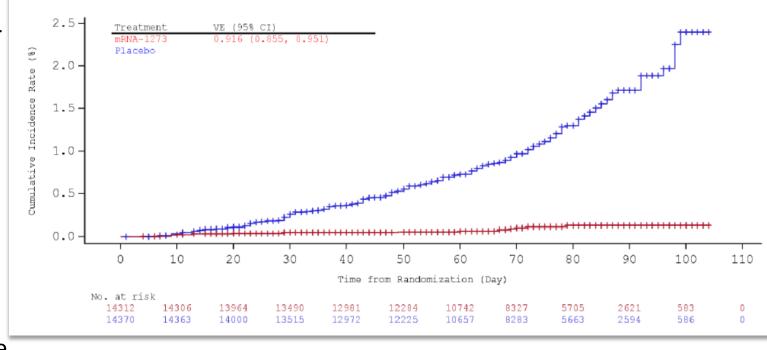


Jackson et al. NEJM. 12 Nov 2020.

# Moderna vaccine: Safety & Efficacy

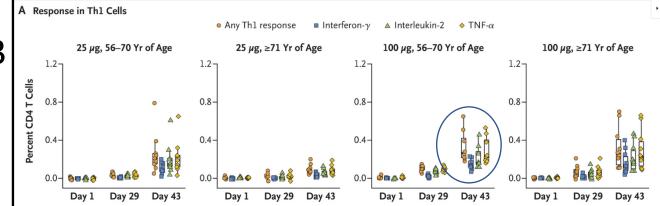
- Primary efficacy endpoint: reduction in incidence of COVID-19 among COVID-uninfected people after 14 days beyond dose 2
- Interim analysis: 27,817 included, 1:1 placebo (13,934) to vaccine (13,883)
  - 90 symptomatic cases in placebo, 5 in vaccine → 94.5% EFFICACY
- Severe COVID-19
  - 11 in the placebo, 0 in the vaccine arm
- Prevention after the first dose?
- Final efficacy analysis: 94.1%
  - 185 cases in placebo, 11 cases in vaccine
  - 95.6% VE in 18-64yo
  - 86.4% VE in age >65 (Itd by #)

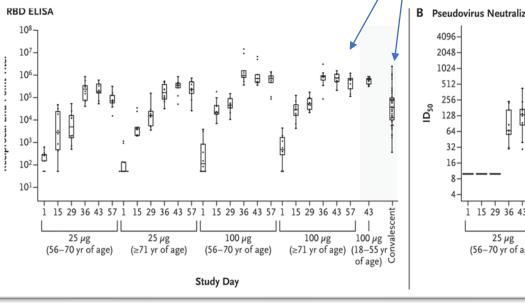
Figure 2. Cumulative Incidence Curves for the First COVID-19 Occurrence After Randomization, mITT Set

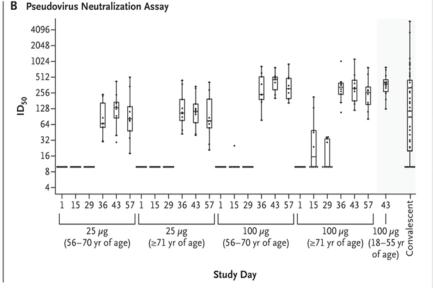


## Moderna Vaccine in Older Adults

- Substudy of 40 ppl >55yo in phase 2/3
- Neuralization and binding antibody titers similar to natural infection





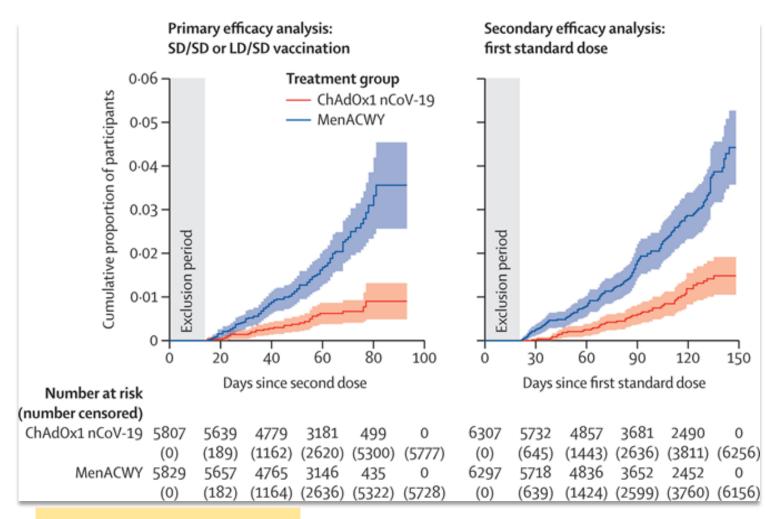


- Better titers with 100ug vs 25ug dose
- Good T cell response
- AEs were mainly mild to moderate

#### COVID-19 Vaccine Astra Zeneca

Control: Menactra vaccine

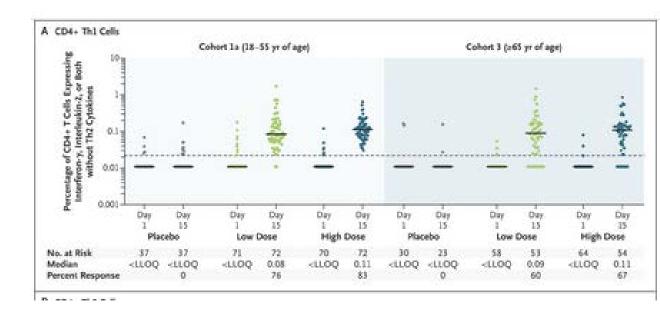
- Formerly known as ChAdOx1nCoV19 and AZD1222
- Chimpanzee adenovirus vector
- (AZD1222) against spike protein
  - Not capable of viral replication
- 2 doses, one month apart
  - Std dose vs low dose
- Storage 2-8C (refrigerator)
- Efficacy: 70.4%
  - 62.1% in std dose/Std dose
  - 90% in low dose/Std dose
  - 0 hospitalized in ChAdOx1 arm
  - 10 hospitalized in control, 1 death
- Few AEs



Primary outcome: symptomatic COVID

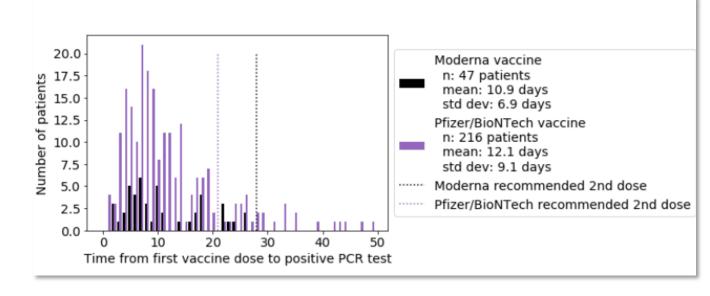
# J&J /Janssen Vaccine

- Also known as Ad26.CoV2.S
- Non-replicating adenovirus 26 vaccine
- Stored at -20C or fridge, 1 dose
- Phase ½: 1 dose vs 2 dose vs placebo
  - Reactogenicity lower after 2<sup>nd</sup> dose
  - 100% neutr Ab titers by day 57
  - Th1 skewed T cell response
- Phase 3: 2 doses
  - 43,783 participants → 468 symptomatic cases
  - Overall well tolerated; 9% fever rate, no anaphylaxis
- 72% effective in US at preventing moderate to severe COVID-19
  - 28 days after vaccine dose
  - 66% overall VE
- No hospitalization or death after day 28
  - Protection from severe disease



# Real-world mRNA COVID-19 Vaccine Efficacy

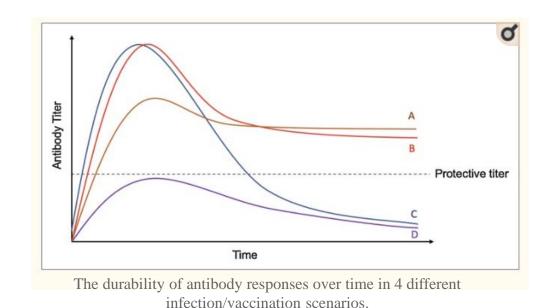
- 31,069 non-trial vaccine recipients (>=1 dose) vs. 31,069 unvaccinated
  - 8041 had received 2 doses
  - Propensity matched:
    - demographics
    - zip code
    - prior test #
- 2 doses = **88.7%** [CI 68.4-97.1%] effective in preventing *infection* 
  - > 36 days from first dose
  - Decreased hospitalization RR 0.4

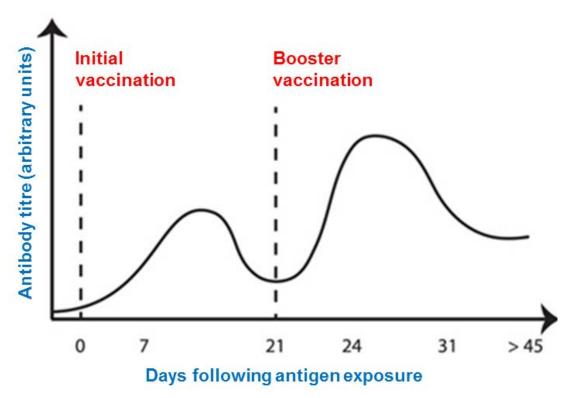


Outcome	Moderna or Pfizer 1+ dose, COVID positive (263 patients)	Matched unvaccinated, COVID positive (2630 patients)	Relative Risk (95% CI)	Fisher Exact test p-value
Number of patients with at least 14 days of follow-up	191	2348		
14-Day Hospital admission rate	7 / 191 (3.7%)	217 / 2348 (9.2%)	0.4 (0.21, 0.86)	0.0074**
14-Day ICU admission rate	2 / 191 (1%)	30 / 2348 (1.3%)	0.82 (0.28, 3.6)	1
14-Day Mortality rate	0 / 191 (0%)	2 / 2348 (0.085%)	0 (0, 51)	1

# Why would a vaccine be designed with 2 doses?

- Affinity maturation
  - Antibody quality + quantity
- Durability of response





Grigoryan L. Semin Immunol. 2020.

# Durability of vaccine antibody response

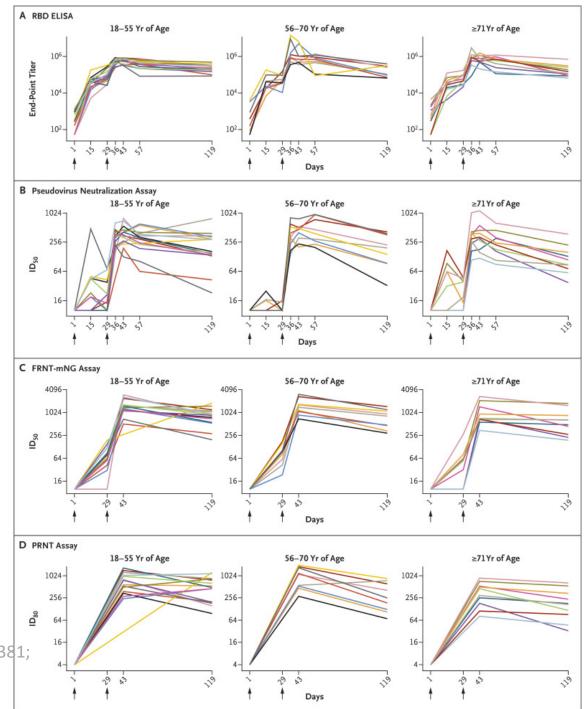
#### • Moderna:

 Vaccine-induced neutralizing antibody titers were higher than the controls (people recovering from natural COVID) at 3 mo (n=44)

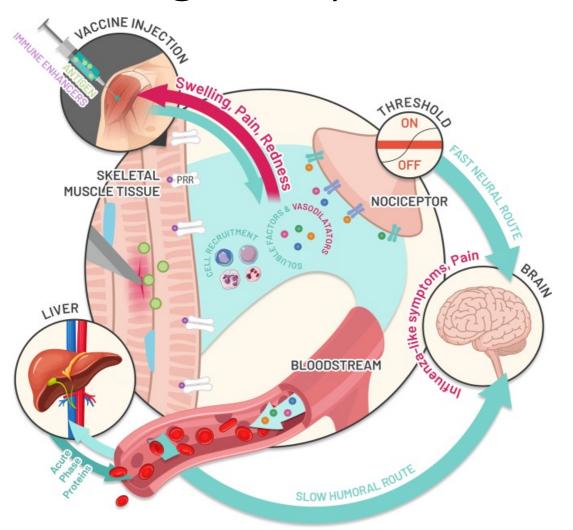
#### • Pfizer:

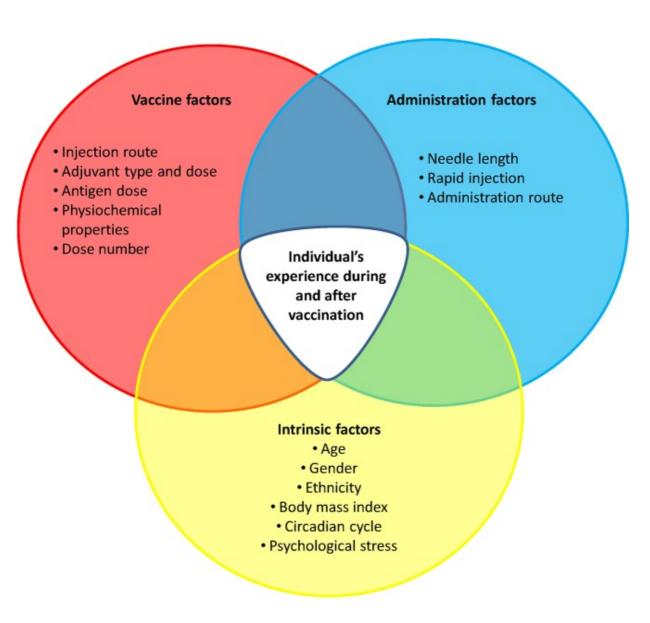
• 6 mo data showed 91.3% efficacy against symptomatic disease (77 infections in vaccine, 850 in placebo)

Widge AT, Rouphael NG, Jackson LA, ...; mRNA-1273 Study Group. Durability of Responses after SARS-CoV-2 mRNA-1273 Vaccination. N Engl J Med. 2020 Dec 3:NEJMc2032195. doi: 10.1056/NEJMc2032195. Epub ahead of print. PMID: 33270381; PMCID: PMC7727324.



# Reactogenicity





# Comparison of reported side effects for various vaccines

SHINGDIY

# Vaccine Side Effects Compared (<55 yr, after Dose 2 - highest side effect group found)

moderna

		(ZOSTER VACCINE RECOMBINANT, ADJUVANTED)	messenger therapeutics	Pfizer	Influenza Vaccine  FLUCELVAX.  QUADRIVALENT
		Shingrix	COVID-19 mRNA-1273	COVID-19 BNT162b2	Flu
	Local Pain	88.4%	90.1%	77.8%	45.4%
	Redness	38.7%	9.0%	5.9%	13.4%
	Swelling	30.5%	12.6%	6.3%	11.6%
	Myalgia	56.9%	61.3%	37.3%	15.4%
	Fatigue	57%	67.6%	59.4%	17.8%
	Headache	50.6%	62.8%	51.7%	18.7%
	Chills	35.8%	48.3%	35.1%	6.2%
	Fever	27.8%	17.4%	15.8%	0.8%
	Overall Grade 3%	5.2%	4.1%	1.5%	0.5%
Graphic from Dr. Jesse	Overall SE %	48%	46%	36%	15%
O'Shea MD, MSc from Emory		1	2	3	4
					@JesseOSh

# CDC COVID-19 Vaccine Safety Monitoring

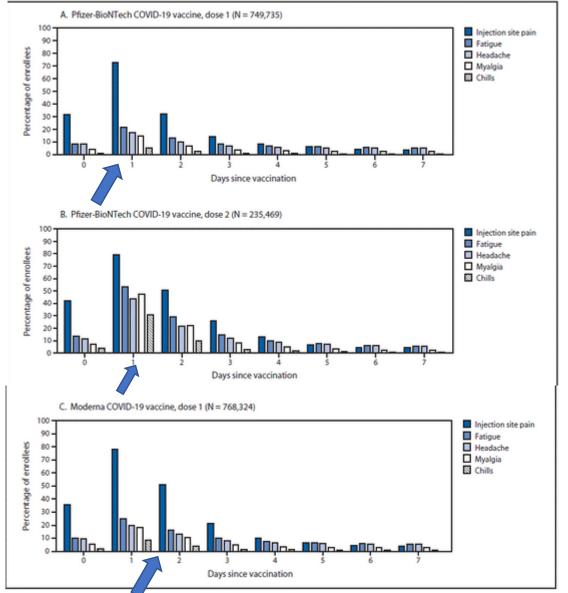


TABLE 2. Percentage of v-safe enrollees who completed at least one survey (N = 1,602,065) with local and systemic reactions reported for day 0-7 and for day 1 after receiving Pfizer-BioNTech and Moderna COVID-19 vaccines — v-safe,\* United States, December 14, 2020—January 13, 2021

	Percentage of v-safe enrollees reporting reactions			
	Both vaccines	vaccines Pfizer-BioNTech vaccine		Moderna vaccine
Local and systemic reaction	Day 0-7	Dose 1, day 1	Dose 2, day 1	Dose 1, day 1
Injection site pain	70.9	72.9	79.3	78.1
Fatigue	33.5	21.9	53.5	25.1
Headache	29.5	17.5	43.4	19.9
Myalgia	22.9	14.7	47.2	18.3
Chills	11.6	5.5	30.6	8.4
Fever	11.4	5.8	29.2	8.2
Injection site swelling	10.8	6.2	8.6	12.6
Joint pain	10.4	5.3	23.5	7.3
Nausea	8.9	4.2	14.0	5.5

Gee J et al. MMWR. 19 Feb 2021; 70.

### What about mutations?

- All RNA viruses mutate
- SARS-CoV-2 mutates slowly, at a rate of 1-2 mutations/month
- Mutations are random but may be seen more frequently if:
  - By chance (founder effect, overdispersion, superspreading events)
  - Adaptive advantage to virus (i.e., binds more easily to ACE2, more transmissible, allows virus to replicate more efficiently)
  - Could arise in setting of selective pressure (e.g., N439K escapes from some monoclonal antibodies, D484Y in RdRP is a remdesivir mutation)
- May emerge more rapidly with infection in immunocompromised hosts where there can be prolonged viral replication

# Genetic variants: unlikely to affect vaccines

- Our antibody and cellular immune response to vaccination is broad, and unlikely to be affected by variant in small area of the spike protein
  - Available vaccines have been protective against all variants to date
- If there were enough mutations accumulated to escape the vaccine immune response, we could change an mRNA vaccine easily to address new mutations
  - But will it? Has not accumulated too many mutations despite infecting many millions in last year
- Degree to which vaccine efficacy is affected by variants may depend on magnitude of neutralizing antibody titer induced by vaccination

## SARS-CoV-2 Variants

Variant of Concern	Mutation	First identified in	Mutation locations	Concerning Characteristics
B.1.351	N501.Y.V2	South Africa	3 in RBD of spike 5 in NTD	50% more transmissible Reduced efficacy of some Abs
B.1.1.7	N501Y E484K Del 69-70	UK	RBD	53% increased transmissibility Minimal impact on Ab neutralization Reinfection rate 0.7%
P.1	E484K, K417N	Brazil	10 mutations in spike	Reduced efficacy of some antibodies
B.1.4127 , B.1.4129	L452R	California		20% increased transmission
B.1.167	E484Q, L452R	India	3-10	Possibly reduced Ab efficacy
B.1.256	S477N E484K	Bronx NY	3-7 spike mutations	Reduced neutralization by CP
				Graham et al. medRxiv 2021.

RBD = Receptor Binding Domain -- the target of much of vaccine-elicited neutralization NTD = N-terminal Domain

Volz et al. medRxiv 2021 Greaney et al. bioRxiv 2021 Wang et al. bioRxiv 2021 Cele et al. medRxiv 2021

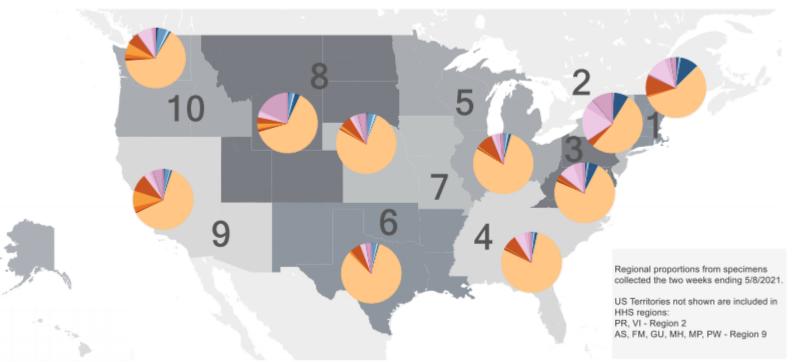
### Variant Detection

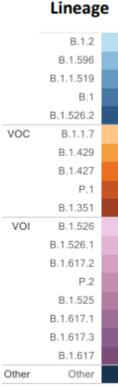
1.0E-3

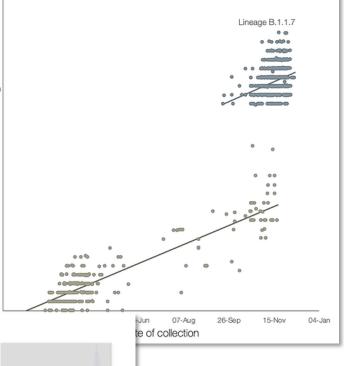
1.2E-3

#### **Regional SARS-CoV-2 Variant Proportions**

April 25 – May 8, 2021 with NOWCAST









CDC COVID Data Tracker As of 5/11/21; VOC=Variant of Concern; VOI=Variant of Interest

OC COVID Data Hacker AS OF J/11/21, VOC-Variant of Concern, VOI-Variant of Interes

# Vaccine Efficacy against Variants

#### Vaccine Efficacy or Effectiveness (VE) Against Variants

Vaccine	Study type	VE		
Pfizer	Post-EUA	<ul> <li>90% against B.1.1.7 in Qatar* 100% for severe/critical disease</li> </ul>		
Janssen	Pre-EUA	<ul> <li>74% in U.S.</li> <li>66% in Brazil</li> <li>52% in S. Africa</li> <li>73-82% for severe/critical disease in each country</li> </ul>		
Novavax	Pre-EUA	<ul> <li>96% against non-B.1.1.7 in UK</li> <li>86% against B.1.1.7 in UK</li> <li>51% against B.1.351 in S. Africa</li> </ul>		
AstraZeneca	Pre-EUA	<ul> <li>84% against non-B.1.1.7 in UK</li> <li>75% against B.1.1.7 in UK</li> <li>10% against B.1.351 in South Africa*</li> </ul>		

\*>85% in UK & Israel (predominate B.1.1.7): <a href="https://www.cdc.gov/coronavirus/2019-ncov/science/science-briefs/fully-vaccinated-people.html">https://www.cdc.gov/coronavirus/2019-ncov/science/science-briefs/fully-vaccinated-people.html</a>
Abu-Radad and Butt. Effectiveness of the BNT162b2 Covid-19 Vaccine against the B.1.1.7 and B.1.351 Variants | NEJM https://www.fda.gov/media/146217/download

Novavax.: https://ir.novavax.com/news-releases/news-release-details/novavax-covid-19-vaccine-demonstrates-893-efficacy-uk-phase-3 Shinde et al. Efficacy of NVX-CoV2373 Covid-19 Vaccine against the 8.1.351 Variant | NEJM

Madhi et al. Efficacy of the ChAdOx1 nCoV-19 Covid-19 Vaccine against the B.1.351 Variant | NEJM

Emary et al. Efficacy of ChAdOx1 pCoV-19 (AZD1222) vaccine against SARS-CoV-2 variant of concern 202012/01 (B.1.1.7):- The Lancet \*\*mild/moderate illness

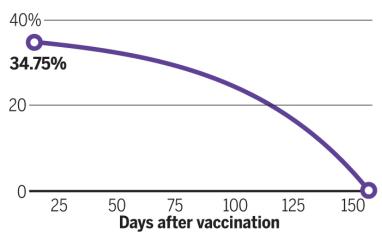
## Why would we need a booster dose of a vaccine?

# (1) To increase nAb titers because waning immunity

#### Flu protection plummets

Seasonal flu vaccines protect against several influenza strains, including H3N2, the one that vaccines typically have the most trouble stopping. These U.S. data from 2011–2015 analyzed the effectiveness of vaccines against H3N2.

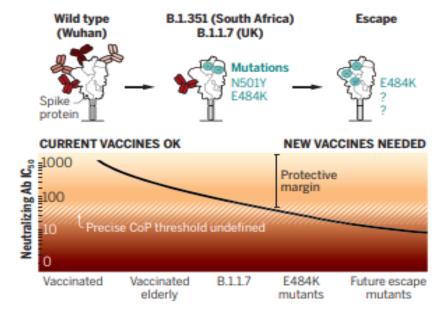
#### Influenza vaccine effectiveness



# (2) To provide more specific immune response to new variants of the virus

#### Vaccine-induced protection

Loss of neutralizing epitopes in the spike protein in severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) variants could reduce protection induced by vaccination based on wild-type spike. Most vaccinated people develop neutralizing antibody (Ab) with an IC<sub>50</sub> (half maximal inhibitory concentration) within the protective margin, although precise correlates of protection (CoP) are unknown. Variants with E484K mutations and future escape mutants may bring protection below this margin, prompting the need for new vaccines.



#### Vaccine booster trials

#### Moderna:

- Booster with 3<sup>rd</sup> dose mRNA 1273 (original)
- mRNA 1273.351 = a B1.351 VOC-specific vaccine booster
- mRNA 1273.211 = multivalent booster candidate (50% original + 50% 1273.351)

[Pfizer, J&J and others are doing similar trials]

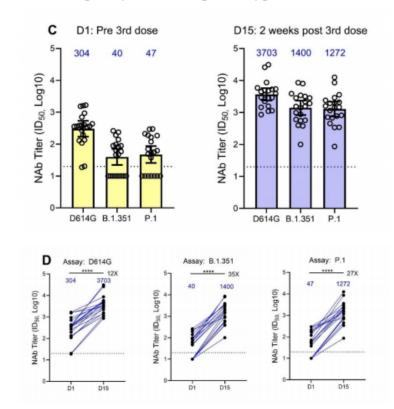


Figure 3C and D: Immunogenicity After Boosting with 50 µg of mRNA-1273.351

Legend: Neutralization of recombinant SARS-CoV-2 VSV-based pseudoviruses (D614G, B.1.351 and P.1) by serum from participants before (D1) and 15 days after boosting (D15) with 50  $\mu$ g of mRNA-1273 (A and B) and mRNA-1273.351 (C and D). The geometric mean neutralizing antibody titer is denoted by the top of the box and the 95% confidence intervals are shown by the brackets. The titers for individual participants are shown by the circles. The fold increases for day 15/day 1 are shown above the bars. The horizonal dotted lines indicate the lower limit of quantification (LLOQ). \*\*\*\*\* = p<0.0001 by the Wilcoxon matched-pairs signed rank test.

# Summary: COVID-19 and the immune system

- COVID-19 vaccines: efficacy and immunogenicity
  - Overview of data on efficacy / antibody production and durability
  - Data on vaccines in older populations: blunted response, likely mortality benefit
- Side effects of COVID-19 vaccines are common, but most are mild and self limited
  - Amount of reactogenicity does not = immunogenicity
- New variants vs the vaccine
  - So far our vaccines are working against them, but only a matter of time / mutations
- Future directions for prevention
  - Boosters of same? Annual update?

# COVID-19 vaccines and allergic reactions

Appendix A: Triage of persons presenting for mRNA COVID-19 vaccination

Relevant medications with polysorbate (PS80, PS20) or PEG				
Alemtuzumab Blinatumomab Brentuximab Bevacizumab Elotuzumab	Infliximab Nivolumab Pembrolizumab Rituximab Trastuzumab Gardasil			
Vancomycin Lorazepam Herceptin Cleaning agents	Depo provera Hand soap Cough medicine Gemcitabine			

Vaccine allergies are rare (1/100000) and typically to excipients

- Polysorbate
- Polyethylene glycol

	MAY PROCEED WITH VACCINATION	PRECAUTION TO VACCINATION	CONTRAINDICATION TO VACCINATION
CONDITIONS	ONDITIONS     Immunocompromising conditions     Pregnancy     Lactation  ACTIONS     Additional information provided*     15 minute observation period	CONDITIONS  Moderate/severe acute illness  ACTIONS  Risk assessment  Potential deferral of vaccination  15-minute observation period if vaccinated	CONDITIONS  None  ACTIONS  N/A
ALLERGIES	ALLERGIES History of allergies that are unrelated to components of an mRNA COVID-19 vaccine*, other vaccines, injectable therapies, or polysorbate, such as:  • Allergy to oral medications (including the oral equivalent of an injectable medication)  • History of food, pet, insect, venom, environmental, latex, etc., allergies  • Family history of allergies  ACTIONS  • 30-minute observation period: Persons with a history of anaphylaxis (due to any cause)  • 15-minute observation period: All other persons	ALLERGIES  History of any immediate allergic reaction' to vaccines or injectable therapies (except those related to component of mRNA COVID-19 vaccines' or polysorbate, as these are contraindicated)  ACTIONS:  Risk assessment  Consider deferral of vaccination and/or referral to allergist-immunologist  30-minute observation period if vaccinated	ALLERGIES History of the following are contraindications to receiving either of the mRNA COVID-19 vaccines':  • Severe allergic reaction (e.g., anaphylaxis) after a previous dose of an mRNA COVID-19 vaccine or any of its components • Immediate allergic reaction' of any severity to a previous dose of an mRNA COVID-19 vaccine or any of its components' (including polyethylene glycol)* • Immediate allergic reaction of any severity to polysorbate*  • ACTIONS • Do not vaccinate* • Consider referral to allergist-immunologist

Caballero ML. J Investig Allergol Clim Immunol 2021.

Schwartzberg LS. Adv Ther. 2018 Jun.

CDC. 21 Jan 2021. www.cdc.gov/vaccines/covid-19/info-by-product/clinical-considerations.html.

<sup>\*</sup> See Special Populations section for information on patient counseling in these groups